



14w

PATENT  
Attorney Docket No.: COOL-01302

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3744  
Thomas W. Kenny et al. )  
Serial No.: 10/698,179 ) Examiner: Ford, John K.  
Filed: October 30, 2003 )  
For: **METHOD AND APPARATUS FOR** ) **TRANSMITTAL LETTER**  
**EFFICIENT VERTICAL FLUID** )  
**DELIVERY FOR COOLING A** ) 162 N. Wolfe Road  
**HEAT PRODUCING DEVICE** ) Sunnyvale, CA 94086  
                            ) (408) 530-9700  
                            ) Customer No.: 28960  
                            )

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.6(c))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: Jan 31, 07 By: John Soder



PATENT  
Attorney Docket No.: COOL-01302

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3744  
Thomas W. Kenny et al. )  
Serial No.: 10/698,179 )  
Filed: October 30, 2003 )  
For: **METHOD AND APPARATUS FOR** )  
**EFFICIENT VERTICAL FLUID** )  
**DELIVERY FOR COOLING A** )  
**HEAT PRODUCING DEVICE** )  
 ) 162 N. Wolfe Road  
 ) Sunnyvale, CA 94086  
 ) (408) 530-9700  
 )  
 ) Customer No.: 28960

---

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on December 29, 2006. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

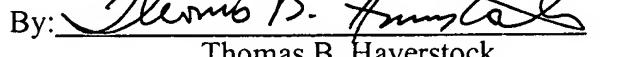
PATENT  
Attorney Docket No.: COOL-01302

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 1 - 30 - 07

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR 1.1(e))  
I hereby certify that this paper (along with any referred to or being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: Jan 31 07 By: Mary Parker



PATENT  
Attorney Docket No.: COOL-01302

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3744  
Thomas W. Kenny et al. )  
Serial No.: 10/698,179 ) Examiner: Ford, John K.  
Filed: October 30, 2003 )  
For: **METHOD AND APPARATUS FOR** ) **SUPPLEMENTAL INFORMATION**  
**EFFICIENT VERTICAL FLUID** ) **DISCLOSURE STATEMENT**  
**DELIVERY FOR COOLING A** )  
**HEAT PRODUCING DEVICE** ) 162 N. Wolfe Road  
                                  ) Sunnyvale, CA 94086  
                                  ) (408) 530-9700  
                                  ) Customer No. 28960  
                                  )

---

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 4,474,172; and
- U.S. Publication No.: 2004/0216863 A1.

PATENT

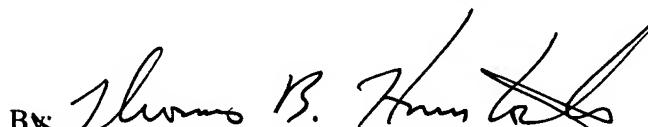
Attorney Docket No.: COOL-01302

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 1 - 30 - 07

By: 

Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: Jan 31, 07 Fw: Mary Barnes

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: COOL-01302		Serial No.: 10/698,179		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use Several Sheets If Necessary)</small> <small>(37 CFR § 1.98(b))</small>				Applicants: Thomas W. Kenny et al.				
				Filing Date: October 30, 2003		Group Art Unit: 3744		
U.S. PATENT DOCUMENTS								
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Pattee	Class	Subclass	Filing Date	
	AA	4,474,172	10/02/1984	Burke	126	449	10/25/1982	
	AB	US 2004/0216863 A1	11/04/2004	Hu	165	110	04/30/2003	
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK							
	AL							
	AM							
	AN							
	AO							
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	AP							
	AQ							
	AR							
	AS							
	AT							
	AU							
	AV							
	AW							
	AX							
	AY							
	AZ							
Examiner:				Date Considered:				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

